

4



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,394	06/07/2001	Michael Holmstrom	229.008

CONFIRMATION NO. 7718

FORMALITIES LETTER



OC000000006399775

David D. Stein
Suite 1030
250 E. Wisconsin Avenue
Milwaukee, WI 53202

Date Mailed: 08/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$40.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 460.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

10/10/2001 MBERHE 00000088 09877394

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:102	84.00 OP



Please type a plus sign (+) inside this box → +

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/877,394
		Filing Date	06/07/01
		First Named Inventor	Michael Holmström
		Group Art Unit	1734
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	230.008	

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	Declaration and Power of Attorney
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David D. Stein, Reg. No. 40,828 Boyle Fredrickson Newholm Stein & Gratz, S.C. 250 East Wisconsin Avenue, Suite 1030 Milwaukee, WI 53202
Signature	
Date	OCTOBER 2, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 10/02/01

Type or printed name	Christine Kierzek
Signature	
Date	<u>10/2/01</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

OCT 05 2001



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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision,
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT	\$994.00
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<i>Complete if Known</i>	
Application Number	09/877,394
Filing Date	06/07/2001
First Named Inventor	Michael Holmström
Examiner Name	
Group/Art Unit	1734
Attorney Docket No.	230.008

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-1170

Deposit Account Name

BOYLE, FREDRICKSON,
NEWHOLM, STEIN & GRATZ S.C.

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	740
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)		740			

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from Below	Fee Paid
11	-20**= 0	X	= 0
4	-3**= 1	X	= 84

Multiple Dependent

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$84.00		

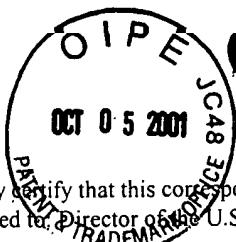
3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	\$170.00

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	David D. Stein	Registration No. (Attorney/Agent)	40,828	Telephone (414) 275-9755
Signature		Date	10/2/2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. {00010233.DOC /}



I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Director of the U.S. Patent & Trademark Office, Washington, DC 20231 on the date indicated below.

Christine Kierzek
Christine Kierzek

Date: 10/2/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Michael Holmström

Serial No. 09/877,394

Filed: June 7, 2001

Assignee: EFTEC Europe Holding AG (a large entity)

For: DEVICE AND METHOD FOR SPRAY EXTRUSION

Attorney Docket No. 230.008

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Director of the U.S. Patent
and Trademark Office
Box Missing Parts
Washington, D.C. 20231

Sir:

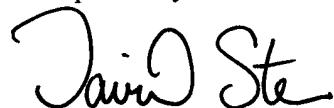
Please note that the applicant in the above-identified application is a large entity. The undersigned filed the application with the understanding that the applicant was a small entity. Thereafter, upon subsequent examination of the instruction letter from the applicant's representative, the undersigned contacted the applicant's representative and requested they investigate the status of the applicant, EFTEC Europe Holding AG. Follow-up correspondence from the applicant's representative clarified that, as a result of their investigation, the applicant does not qualify as a small entity. As a result, the applicant hereby respectfully requests that its status be changed to a large entity. Accordingly, the enclosed filing fee, extra claims fee, and fee for late filing of the declaration are all remitted in the amount for a large entity.

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed August 8, 2001 in the above-captioned application, applicant submits the following:

- 1) Notice to File Missing Parts of Application;
- 2) A Fee Transmittal Form PTO/SB/17 (12/99);
- 3) A check in the amount of \$994.00 which includes \$130.00 for payment of the government fee for late filing of applicants' Declaration and Power of Attorney for a large entity and \$740.00 filing fee for a large entity, \$84.00 for 1 independent claim over 3 for a large entity, and \$40.00 for the Recordation fee for recording the assignment.
- 4) Executed 2-page Declaration; and
- 5) Executed Assignment document, and Recordation Form Cover Sheet.

The Director is hereby authorized to charge payment of any additional fees associated with this or any other communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,



David D. Stein
Registration No. 40,828

Dated: October 2, 2001

BOYLE, FREDRICKSON, NEWHOLM,
STEIN & GRATZ, S.C.
250 Plaza, Suite 1030
250 East Wisconsin Avenue
Milwaukee, WI 53202
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Docket No.: 230.008